

Intestinal microbiota in the pathogenesis of chronic heart failure

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ABSTRACT

In this review, we have considered and discussed the existing data to achieve a deeper understanding of the role of intestinal microbiota in the development and progression of chronic heart failure (CHF). The key moments of the CHF pathogenesis (an imbalance of neurohumoral systems, inflammatory theory and metabolic disorders) and the respective changes of the intestinal microflora composition were compared.

Here, we also present the latest results of the positive influence of the microflora modulations on the course and prognosis of CHF with the prescribing antibiotics, probiotics and prebiotics.

Introduction

A lot of data were obtained on the role of intestinal microbiota in the pathogenesis of different diseases.¹ Quantitative and qualitative changes in microbiota have been observed in functional and inflammatory bowel diseases, gastroesophageal reflux disease, liver disease, in kidney, respiratory system, diabetes mellitus, metabolic syndrome, psycho-neurological disorders, *etc.* In cardiovascular diseases studies it was noticed that the changes in the microbial composition

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Key words: Intestinal microbiota; chronic heart failure; probiotics; cytokines.

Contributions: all authors contributed to this paper with the conception, literature review and analysis; MF, MS, OZ wrote the manuscript; VI reviewed and edited the manuscript critically; all authors approved the final version.

Conflict of interests: the authors declare no potential conflict of interests.

Received for publication: 20 May 2019. Revision received: 21 July 2019. Accepted for publication: 27 August 2019.

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[®]Copyright: the Author(s), 2020 Licensee PAGEPress, Italy Italian Journal of Medicine 2020; 14:1-8 doi:10.4081/itjm.2020.1185 of the intestinal biotope could lead to the development of chronic heart failure.²⁻⁴ The chronic heart failure (CHF) prevalence varies from 1 to 2%, and reaches 10% among the elderly in the developed countries.⁵ More than 75% of patients with CHF over 65 years of age.⁶ The main risk factor for death from CHF is the patient's age, data were obtained by Mazza *et al.* in the CASTEL study (the Cardiovascular Study in the Elderly). CHF is the most common cause of cardiovascular death for patients over 65 years of age.⁷ Despite modern treatment methods, the prevalence of CHF and its social significance indicate the need to look for new pathogenically-determined directions in treatment.

At present, CHF is mainly considered from the standpoint of neuroendocrine disorders. It is discussed, that the imbalance of the renin-angiotensin-al-dosterone, sympathoadrenal, kinin-kallikrein systems and the system of natriuretic peptides with the development of vasoconstriction and fluid retention, leads to heart remodeling and to a further disruption of its function with the development of metabolic disorders due to the imbalance between the delivery of oxygen and the need for organs and tissues.⁸

However, the increased level of pro-inflammatory cytokines in patients with CHF cannot be explained by the neuroendocrine activation only. According to one of the assumptions, an increase in the level of systemic inflammation factors is due to the lipopolysaccharides of the gram-negative bacteria penetration through the intestinal wall into blood.

The intestinal microbiota changes under chronic heart failure disease

In the results obtained from some studies a decrease in intestinal microbial diversity in patients with



CHF was demonstrated.^{9,10} Different and sometimes conflicting data for the representation of different taxa were provided in some published articles.

After sequencing 16S-RNA bacteria, those obtained from the fecal samples of the Japanese patients with non-ischemic CHF and low ejection fraction (CHF-NFV), Katsimichas *et al.* revealed the differences in β -diversity for patients with chronic heart failure compared with similar patients without CHF, adjusting the age, the glomerular filtration rate and therapy. *Firmicutes* and *Bacteroidetes* dominated in the bacterial community of patients and healthy individuals, simultaneously *Proteobacteria* were less represented there. In patients with CHF-NFV, *Streptococcus* and *Veillonella* were more widely represented and *SMB53* were less represented compared with the control group.¹¹

The results of some researchers demonstrated a decrease in the number of representatives of *Coriobacteriaceae*, *Erysipelotrichaceae* and *Ruminococcaceae*.⁹ On the other hand, some researches argued in favor of an increase in the number of *Streptococcus* and *Veillonella* genera.

In their study, Kummen *et al.* have shown the changes in the 15 genera of intestinal bacteria in patients with CHF compared with the healthy control group.¹² It was also detected the increasing number of pathogenic bacteria and fungi, such as *Campylobacter, Shigella, Salmonella, Yersinia enterocolitica* and *Candida* under the CHF.¹³

At present, there are no data for the microbial composition of the intestine in patients with CHF with preserved ejection fraction [CHF with preserved ejection fraction (CHFpEF)]. The role of the intestinal microbiota has also required clarification in the pathogenesis of chronic heart failure.

However, in according to Wilson Tang *et al.* there is a relationship between the metabolites been formed in the human body under participating of the intestinal microbiota and the severity of diastolic myocardial dysfunction. So, in particular, the correlation was found between the concentrations of trimethylamine-N-oxide, choline, betaine and the value of the E/Ea ratio. Nevertheless, it was not noted any significant correlation with the left ventricular (LV) systolic function in this study.¹⁴

A positive influence of probiotics on an improvement of the diastolic function of myocardium was also found. It resulted in a reducing of the fibrotic changes and the degree of hypertrophy, which confirms the role of intestinal microbiota in the development of cardiac pathology.¹⁵

At present, it is known and confirmed that the microbiota waste products, short-chain fatty acids (acetate, propionate, butyrate) regulate the metabolic and immune processes of the human body through a system of the signaling molecules.¹⁶ An inverse correlation between the number of the butyrate-producing *Lachnospiraceae* representatives and the T-cell activation marker CD25 (sCD25) was found. The correlation was more pronounced in patients with severe CHF, who had reached the edge point (been included in the waiting list of a heart transplant or dead) during the observation period. In patients who reached the edge point, a decrease in the *Eubacterium hallii* was also detected, which was one of the main producers of butyrate like the *Lachnospiraceae*.¹²

Having analyzed the metabolic profile of bacteria in patients with CHF with reduced ejection fraction and healthy individuals, researchers have found differences in the genes that were responsible for the metabolism of amino acids, carbohydrate, vitamins and xenobiotics.¹¹

According to the published data, the patients with CHF had age-related differences in the microbiota composition. So, Kamo et al. detected a decrease in *Bacteroidetes* representatives (11.7±2.3%) 21.7±4.1%, P=0.047) and a Proteobacteria content increase (8.4±2.9% vs 1.6±0.5%, P=0.046) without significant differences in the Firmicutes for the intestinal microbiota composition in patients with CHF older than 60 years compared with patients before the age of 60. In accordance with the types of bacteria, in the composition of the intestinal microbiota in feces samples from the elderly patients with CHF, it was found a low content of Faecalibacterium prausnitzii and Clostridium clostridioforme (3.1±1.0% vs 7.5±1.4%, P=0.021, 2.2±0.6% vs 6.2±1.3%, P=0.013 and 0.7±0.3% vs 2.3±0.6%, P=0.035, respectively) and a much higher content of Lactobacillus and L. salivarius (21.4±5.1% vs 2.0±1.1%, P=0.004 and 14.0±4.7% vs 0.4±0.4%, P=0.018, respectively) in comparison with the CHF patients from group of younger patients.¹⁰

The role of microbiota in the inflammatory theory of chronic heart failure

The cell wall lipopolysaccharides of gram-negative bacteria serve as ligands for Toll-like Type 4 receptors (TLR-4) of the intestinal epithelial cells. In addition, with the reduction of the expression of tight junction proteins in intestinal wall, lipopolysaccharides penetrate into adjacent tissues and bloodstream, where they also interact with type TLR-4 receptors, triggering a cascade of sequential events. This eventually leads to a translocation of the transcription factor NF-kB into the nucleus cells. NF-kB regulates the expression of the genes encoding pro-inflammatory proteins, such as cyclooxygenase-2 enzyme, nitric oxide synthase, interleukins-1 β , 6 (IL-1 β , IL-6), tumor necrosis factor-alpha (TNF- α). Peptidoglycans of the cell wall interact with nucleotide-binding receptors







(NOD-receptors). They also activate the immune cells (monocytes, macrophages) and stimulate a release of the regulatory cytokines (Figure 1). Moreover, the peptidoglycan of gram-negative bacteria influences the NOD2 and NOD1 receptors, but the peptidoglycan of gram-positive bacteria influences only the NOD2 receptors. So, the concentration of the bacterial NOD2 agonist reflects a translocation of gram-positive and gram-negative bacteria.

As it was demonstrated in Kim's study on the patients exposed to surgery on the abdominal aorta and the patients with a surgical treatment of the carotid arteries, a concentration of the NOD2 agonist was correlated with the levels of IL-10 and plasma cortisol. In the case of surgery on the abdominal aorta, a positive test for the NOD2 agonist was detected in 90.5% of the patients studied, while in the second group it was detected just in 23.8% of the tested patients. The patients belonging to the first group also showed an increased blood concentration of the IL-6, IL-10, Creactive protein, procalcitonin, cortisol.¹⁷

In the heart failure case, the hemodynamic disturbances lead to hypoxia and edema of the intestinal wall and to the barrier function violation of the intestinal wall with its permeability increasing. But from the other side one can lead to a violation of the intestinal motor function, to the syndrome of excessive bacterial growth, to bacterial translocation and to inflammation processes activation.

The most increased concentrations of the endotoxins and pro-inflammatory cytokines in plasma were detected in patients with CHF and edematous-ascitic syndrome. The endotoxins concentration was reduced against the background of the ongoing diuretic therapy.¹⁸ All this can illustrate the potential contribution of intestinal microbiota and bacterial translocation leading to endotoxemia and immune activation in heart failure pathogenesis.

Sandek *et al.* studied the transcellular transport and intercellular permeability of the small intestine in 20 patients with CHF [12 patients with peripheral edema and 8 patients without edema with an ejection fraction of $29\pm5\%$, corresponding to New York Heart Association (NYHA) functional class (FC) 2-4]. For this purpose of the study it was used a mixture of sugars was consisted from 3-O-mityl-D-glucose (bound with active transcellular transport with involving of a carrier), D-xylose (a passive transcellular transport by the car-

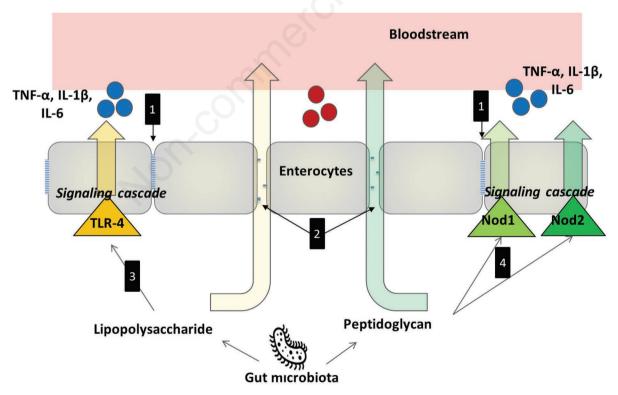


Figure 1. Effects of cell wall components of the gram-positive and gram-negative gut bacteria. 1- The intercellular contacts are normal; 2- a disruption of the intercellular contacts, an increase of intestinal permeability in chronic heart failure, the bacterial translocation; 3- an activation of Toll-like receptors of Type 4 (TLR-4) receptors similar to the lipopolysaccharides kind; 4- an activation of nucleotide-binding receptors (NOD)1- and NOD2-receptors by peptidoglycans. TNF-α, tumor necrosis factor-alpha; IL-1β, IL-6, interleukins-1β, 6.

rier), L-ramnosis (diffuse transcellular transport), and melibioses (intercellular transport). An active transcellular transport was slowed down by 54% in patients with CHF and these disorders were more pronounced in patients with the edematous syndrome. Also, the study has detected a decrease in the passive vector transport by 34% in patients with the CHF. That can demonstrate a dysfunction of the intestinal epithelium because of ischemia. The authors had found no significant differences in the allocation of melibiose and Lrhamnose, providing transport without any participation of the carriers in the patients with CHF and from the control group. Furthermore, an increase in concentrations of lipopolysaccharides, TNF and soluble TNF receptors was observed in the patients with edema. A decrease of lipopolysaccharides level was observed after reaching the CHF compensation, showing an existing of a causal link among the gut wall edema, epithelial dysfunction and lipopolysaccharide translocation.19

In the earlier work of Koloczek it was detected a violation of the transport of saccharides by carrier proteins and it was not found any correlation with the concentration of circulating cytokines in the patients with peripheral edema.²⁰

The permeability of the intestinal wall depends on few factors, including the syndrome of intensive bacterial overgrowth (SIBO) in the small intestine. Lauritano *et al.* noted that an increase in the intestinal permeability was observed in 11 out of 20 patients with SIBO, while in the control group it was observed in one individual out of 21 patients tested. A decrease in the intestinal wall permeability was achieved after the successful application of rifaximin to decontaminate the wall.²¹

Indirectly, the effect of the SIBO on systemic hemodynamics can be evaluated from the results of studies on patients with cirrhosis of the liver. Decompensated cirrhosis of the liver is characterized by a hyperdynamic type of blood circulation and an increase in cardiac output and circulating blood volume with a decrease in blood pressure and total pe-Under ripheral vascular resistance. the decompensation of cirrhosis of the liver, it is observed an increase in the level of the N-terminal fragment of the precursor of the brain natriuretic peptide (NTproBNP), which is used in the diagnosis and assessment of the severity of heart failure. In patients with the decompensated cirrhosis it was found a direct correlation between the NT-proBNP level and few echocardiographic indicators (edge diastolic volume of the left ventricle, stroke volume, cardiac output, left atrial volume) and an inverse correlation with general peripheral vascular resistance. There was no correlation with the left ventricular ejection fraction.²² The role of SIBO has been proven in the development of vasodilation, arterial hypotension and hyperdynamic blood circulation in liver cirrhosis. SIBO was noted more frequently in patients with decompensated liver function and correlated with the severity of disease.²³

The prevalence of SIBO in patients with CIF was from 38.2% (according to the results of a hydrogen breath test with lactulose) to 47.1% (during the methane-based breath test). However, there was no significant correlation among the SIBO presence, inflammatory markers in the blood, NT-proBNP and echocardiography indicators. A positive hydrogen respiratory test was associated with an increased risk of long-term complications (hospitalization, death), while the positive methane breath test did not show a prognostic value. Unfortunately, the results presented in the study were obtained by a small number of participants (patients) and no age-related control group was present.²⁴

Inflammation in chronic heart failure

Pro-inflammatory cytokines play an important role in the pathogenesis of CHF. In particular, it has been established that TNF- α and IL-1 β interfere in calcium metabolism in cardiomyocytes and have a negative inotropic effect contributing to the development of cardiac remodeling and reducing the cardiac output.²⁵ Along with that, TNF- α and IL-1 β are involved in the regulation of Type 1 angiotensin II receptors contributing to the development of myocardial hypertrophy enhancing the processes of fibrosis and apoptosis of cardiomyocytes.^{26,27} So, in the experiment on rats, it was shown that activation of type 1 TNF- α receptor in the brain against the background of the inflammation and increased activity of the renin-angiotensin-aldosterone system leads to an activation of the sympathetic system and results in a progression of CHF.28

Besides the hyperactivation of the sympathetic system and the renin-angiotensin-aldosterone system, it is observed an insufficient activity of the natriuretic peptide system during cotransport inhibitory factor.²⁹ The atrial natriuretic peptide has an anti-inflammatory and immunomodulating effect due to its influence on the activation of the transcription factor NF-kB, the inflammatory effect of NLRP3, caspase-1 and the interleukin-1 β n release. Thus, a decrease in the activity of natriuretic peptide system contributes to the development of inflammation in CHF.³⁰

An increase in the activity of inflammatory mediators (IL-1, 6, 18, TNF- α , C-reactive protein) leads to the progression of the disease in CHF case. It was noted that the levels of pro-inflammatory cytokines were correlated with the severity of CHF and mortality of patients.^{31,32}

So, in our opinion, there is a *vicious circle* between intestinal microbiota and heart. Chronic heart failure



causes functional and structural changes in the intestine and the change in the microbial composition contributes to the progression of CHF through the inflammatory cascade.

Trimethylamine-N-oxide in the pathogenesis of chronic heart failure

Another link of the intestine-heart axis is represented by metabolites arising from the bacteria activity. Trimethylamine-N-oxide (TMAO) is a metabolite of the exogenous choline, phosphatidylcholine (lecithin) and L-carnitine. Jointly with intestinal microbiota they are metabolized to trimethylamine (TMA), which is absorbed into the systemic circulation from the intestine, where it is converted to TMAO. Probably, the TMA metabolism has been provided by the enzymes from the group of flavin monoxidases. In particular, the accumulation of TMA in blood is observed in the presence of a flavin monoxidase-3 mutation.³³

The increased TMAO level in plasma is associated with the high cardiovascular risk.34 According to data available in literature, TMAO has a pro-atherogenic effect influence on the reverse grip of cholesterol from macrophages. However, the accurate mechanism of that is not completely clear.34,35 Nowadays a central role in the pathogenesis of vascular inflammation is defined for the oligomerization of the nucleotide-binding domains (NLRP3) of inflames. Under the TMAO action an activation of the NLRP3-inflammasomes and caspase-1 is observed. The TMAO contributes to the formation of the reactive forms of oxygen by slowing the activation of manganese-dependent superoxide dismutase-2 and reducing the expression of sirtuin 3.36 The NLRP3-inflammasome and caspase-1 activation is associated with the increased production of IL-1 β and the increased endothelial permeability.37

In the CHF case was revealed an increase in the level of TMAO. W. Tang. showed that the average concentration of TMAO in the group of the patients with CHF was 5.0 μ M (IQR 3.0 to 8.5 μ M) and that value was significantly higher than in the control group (3.5 μ M [IQR 2.3 to 5.7 μ M]; P<0.001).³⁸

In the study on mice, the level of brain natriuretic peptide (the main marker of heart failure) increased with TMAO and choline infusion and it was observed an increase in interstitial and perivascular myocardial fibrosis.³⁹

The risk of death among patients with CHF for over 5 years correlates with the level of TMAO [4 quartiles *versus* 1: hazard ratio (HR): 3.42; 95% confidence interval (CI): 2.24 to 5.23; P<0.001, adjusted for traditional cardiovascular risk factors: HR: 1.18; 95% CI: 1.06 to 1.31 per standard deviation; P<0.01], and there were no significant differences between the risk of death in the CHF groups with ischemic and non-ischemic genesis.³⁶

The protective properties of TMAO are described in literature. So, spontaneously hypertensive rats were treated with an aqueous solution of TMAO and other rats were treated with placebo (water), no significant differences were detected in blood pressure values, the risk of developing angiopathy and myocardial hypertrophy.

However, against the background of TMAO, during the administration in small doses for a long time (60 weeks) to hypertensive rats, lower values of NTproBNP, vasopressin, left ventricular end-diastolic pressure were observed in comparison with rats treated with placebo (water). Thus, the significant increase of TMAO in plasma did not influence the negative changes in the circulatory system during hypertension, but had a positive effect on the diastolic dysfunction caused by a pressure overload.⁴⁰

Possibilities of intestinal microflora modulations in chronic heart failure

As it was proved in a number of works, there is a possibility of antibiotics and probiotics exposure on the intestinal microbiota in the CHF cases. In their study, Conraadsa et al. evaluated the effect of selective decontamination of gastrointestinal tract (polymyxin B 800 mg/day, tobramycin 320 mg/day for 8 weeks) on intracellular production cytokine monocytes, circulating cytokine levels (IL-1b, IL-6, TNF-a) and endotoxin, the effect on endothelial function for the 10 patients with the severe CHF form (3 and 4 FCs by NYHA, LVEF 14-37%). The treatment showed a significant decrease in the expression of CD14 monocytes and intracellular production of IL-1b, IL-6 in response to the stimulation with lipopolysaccharide. An endothelial function improvement (increase of flow-mediated dilatation of brachial artery) was noted. However, in the study, no significant changes were detected in the concentration of circulating cytokines and endotoxin. Perhaps, it was due to an insufficient (from the statists point of view) number of observations and an insufficient comparison group (the 8 patients had received placebo). Anti-inflammatory cytokines [for example, the antagonists of the IL-10 and IL-1 (IL-1Ra) receptors] were not evaluated in the study. Therefore, the anti-inflammatory effect of selective decontamination cannot be excluded either.41

The positive effects of a number of probiotics in the CHF cases have been noticed in the experimental studies (Table 1).⁴²⁻⁴⁵ So, the *Lactobacillus reuteri GMNL-263* strain resulted in a decrease of the artificially-induced myocardial fibrosis by suppressing the production of transforming growth factor beta (TGF- β) in the hamsters tested.⁴² In the 8 weeks, a decrease in the left ventricular ejection fraction against the background of increased nutrition was observed in the hamsters tested. An increase of the ejection fraction was observed in the case of the probiotic treatment with *Lactobacillus reuteri GMNL-263*. Against the background of a high-fat diet, cardiomyocytes were located randomly and the intercellular distance was increased too. These changes were not too clearly pronounced, the cardiomyocyte apoptosis was slowed down in the probiotic group.⁴³

In the study on rats with bandaged coronary artery, the *Lactobacillus rhamnosus GR1* strain reduced myocardial mass and the level of atrial natriuretic peptide. There was also an improvement in the systolic and diastolic functions of the heart in rats from group *GR-1*.⁴⁴

On a background of a high-fat diet using the multistrain probiotic containing *Lactobacillus rhamnosus*, *Pediococcus acidilactici*, *Bifidobacterium adolescentis*, a less pronounced change was noticed in the structure of rats myocardium.

Also it was noted a slowdown in the development of fibrosis and myocardial hypertrophy, which was revealed due to the suppression of the TGF/MMP2/MMP9 profibrotic pathway (transforming growth factor/matrix metalloproteinase-2/matrix metalloproteinase-9) and the ERK5/uPA/ANP pathway (regulated kinase-5/urokinase-type plasminogen activator/atrial natriuretic peptide) in the rats studygroup treated by probiotic.⁴⁴

With the use of probiotic bacteria, encouraging results of an improvement in the systolic function of the left ventricle in patients with CHF were demonstrated in some clinical studies. Thus, a decrease in the size of the left atrium and increase in the ejection fraction were detected among patients with CHF NYHA II or III FC with LV below 50% in the group been treated by the probiotic with *Saccharomyces boulardii*.⁴⁵

There are not enough published studies of the effects of prebiotics in CHF yet. The importance of dietary fiber deficiency in patients with CHF and the



anti-inflammatory properties of prebiotic doses of lactulose have been observed.^{46,47}

One of the promising research directions is the probiotics influence on the metabolic pathways through which the gut microbes can effect on the host organism. For example, the TMAO production decrease can be achieved by blocking the enzyme choline-TMA-lyase, which converts choline to TMA.⁴⁸ Among the choline-TMA-lyase inhibitors, there is 3,3-dimethyl-1-butanol, that is contained in cold-pressed olive oil.⁴⁹ This could determine the positive results of the Mediterranean diet for those patients suffering from CHF, by reducing TMAO and improving their prognosis.⁵⁰

Conclusions

The existing data on intestinal microbiota composition in patients with chronic heart failure are contradictory, nevertheless, the effect of intestinal bacteria has been defined in the development of this disease. Microbial metabolites and metabolites were formed by an action of intestinal microflora, these metabolites influence the CHF course and correlate with the disease severity.

In particular, by enhancing the production of inflammatory cytokines, the trimethylamine-N-oxide contributes to the endothelium permeability increase with the development of angiopathy, accelerates the processes of myocardial remodeling, and increases the fibrosis. Bacterial translocation also leads to the increasing inflammation in the CHF case.

Predominantly, the role of intestinal microbiota in the pathogenesis of CHFpEF can be explained by the bacterial translocation against the background of the intestinal wall permeability increase and the inflammatory response been mediated by some cytokines with the negative inotropic effect.

SIBO plays an important role by increasing the bacterial translocation through the intestinal wall. Moreover, in CHF cases, SIBO is associated with the high

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Bacteria strains	Results
L. reuteri GMNL-263	Reduction of myocardial fibrosis by suppressing with transforming growth factor β^{42}
L. reuteri GMNL-263	A fraction increase in left ventricular ejection, slowing down of the myocardial remodeling and cardiomyocyte apoptosis processes ⁴²
L. rhamnosus GR-1	Reduction of myocardial volume and atrial natriuretic peptide level, an improvement of systolic and diastolic functions during coronary artery ligation ⁴³
L. rhamnosus, P. acidilactici, B. adolescentis	A slowing down of the fibrosis and myocardial hypertrophy processes ⁴⁴
S. boulardii	The reduction of left atrium size and the increase of the left ventricle ejection fraction ⁴⁵

Table 1. Effects of probiotics in chronic heart failure.



risk of the repeated hospitalizations and patients' death.

The microbiota effect on CHFpEF requires a clarification. There are some indications concerning the microbiota influence mediated by metabolites on myocardial hypertrophy, fibrosis and diastolic function of the myocardium, which can be considered as the possible CHFpEF mechanisms:

- the common matter of the CHF pathogenesis (imbalance of neurohumoral systems, inflammatory theory and metabolic disorders) and the changes in violation of the composition of intestinal microbiota;
- the positive effects of probiotics on the microbial composition of intestine and on the course and prognosis in CHF cases;
- an opportunity to influence on the metabolic pathways of the microbe - heart communication.

All of these could make possible to consider the microbiome as the marker and possible target in the treatment of the CHF disease. Certainly, further researches are needed in this area.

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