

# Direct oral anticoagulants in real practice: which doses for which patients. Limitations and bleeding risk compared to vitamin K antagonists

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## ABSTRACT

The new oral direct anticoagulants (DOACs) could represent a new frontier for management of thromboembolic diseases. However, the new drugs have limitations that need to be considered. Despite the fact that their efficacy and safety profile are at least not inferior to comparators, bleeding risk represents the most feared complication, as for all the antithrombotic drugs. Bleeding risk increases with conditions that interfere with pharmacokinetics, in addition to the risk strictly linked to patients or their co-morbidities. Since all DOACs are excreted from kidneys (even though at different percentages according to the different molecules), renal impairment represents one of the leading causes of DOACs accumulation and bleeding risk. Moderate renal failure is the main condition in which dose adjustment of DOACs could be required, while severe renal impairment represents an absolute contraindication for their use. Renal function must, therefore, be carefully monitored before prescription and during assumption. The older population is at higher bleeding risk, and dose adjustment of DOACs could be required. Although to a lesser degree than oral anticoagulant vitamin K antagonists, DOACs can have drug interactions, especially with P-glycoprotein and cytochrome P3A4 inducers or inhibitors, and these interactions must be taken into account in real practice to avoid accumulation or under dosage. The concomitant use of other drugs, especially antithrombotics, may expose the patients to bleeding risk by reducing the hemostatic properties.

## Introduction

The results of the phase III randomized clinical trials (RCTs) on new oral anticoagulants, the direct oral anticoagulants (DOACs), seem to open up new frontiers in the prevention and treatment of venous thromboembolism (VTE), in cardioembolic prevention of non-valvular atrial fibrillation (NVAF) and, only for rivaroxaban, in the acute treatment of coronary syndrome (ACS).<sup>1-7</sup>

When compared with indirect oral anticoagulants, the vitamin K antagonists (VKAs), the DOACs have been demonstrated to be at least not inferior in efficacy and safety.<sup>2-5,8,9</sup> Furthermore for many safety end points, the DOACs have been demonstrated to be significantly superior in reducing bleeding events. What is of great interest, and deserves practical consideration when compared with VKAs, is the fact that the DOACs have been shown to reduce the incidence of intracranial bleedings, the most feared complication of the anticoagulant drugs and of all the antithrombotic therapies.<sup>2-5,8,9</sup> Table 1 summarizes the main results on safety profile of DOACs compared with warfarin in the phase III RCTs.

Post-marketing reports confirm the good safety profile of DOACs. Very recently, in fact, a Food and Drug Administration (FDA) survey has shown that dabigatran used for cardioembolic prophylaxis of NVAF is associated to a significantly lower incidence of intracranial bleedings in *real life* compared with warfarin.<sup>10</sup> Moreover, the same survey has demonstrated that dabigatran is also safer over warfarin in gastrointestinal bleedings, the only safety end point on which the RE-LY study had failed to demonstrate non-inferiority of the new drug.<sup>10</sup> Another report of clinical practice in Denmark demonstrates that the rate of major bleedings with both doses of dabigatran (110 mg bid or 150 mg bid) is similar to that of warfarin,

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but the overall mortality and intracranial bleeding rates are significantly lower for both doses of dabigatran over warfarin.<sup>11</sup> Furthermore, both rivaroxaban and dabigatran have been shown to be associated with a favorable safety profile as the main orthopedic prophylaxis in phase IV studies.<sup>12-16</sup>

The availability of DOACs has inevitable repercussions on the practical management of these diseases, but many *real life* situations in patients suitable for treatment with DOACs remain challenging. This is because of the unresolved question as to how to interpret pivotal clinical trials in which the numbers of some selected populations that are not negligible in terms of prevalence in the *real world* were actually poorly represented or were excluded, or due to the lack of phase IV post-marketing clinical studies.

Therefore, the aim of this paper is to present the available recommendations for the use of DOACs in clinical practice, with particular attention to dose management and its adjustment in selected clinical conditions, such as advanced age, renal and liver diseases, extreme body weights, and concomitant use of drugs other than DOACs.

## Standard doses of DOACs

Table 2 summarizes the standard doses of DOACs used in phase III RCTs. The standard doses of dabigatran recommended for clinical practice are 150 or 220 mg once a day (od) in VTE prevention in major orthopedic surgery, 110 mg or 150 mg twice daily (bid) for cardioembolic prevention in NVAF.<sup>17</sup> Considering this latter setting, the RE-LY study tested two doses of dabigatran *versus* warfarin.<sup>2</sup> Briefly, the re-

sults of the RE-LY study showed that higher dose of dabigatran (150 mg bid) was associated to superiority of the new drug over warfarin under the efficacy profile and non-inferiority under the safety profile, while lower dose (110 mg bid) was associated to non-inferiority under the efficacy profile, while it showed superiority under the safety profile.<sup>2,3</sup> For both dosages, the RE-LY study, as mentioned above, showed superiority of warfarin over dabigatran in gastrointestinal bleedings.<sup>2,3</sup> The results of the RE-LY study seem to suggest a preference for higher doses of dabigatran in patients at higher thromboembolic risk assessed by using the CHA<sub>2</sub>DS<sub>2</sub>-VASC score, while lower doses of dabigatran should be preferred in patients with higher bleeding risk. It has been suggested to use the HAS-BLED score for the estimation of bleeding risk, It has been suggested to use the HAS-BLED score to estimate bleeding risk, but there could be concerns in naïve patients who have never been treated with VKAs, since HAS-BLED could underestimate bleeding risk in this subgroup of patients due to the fact that the score assigns one point to labile (L) international normalized ratio (INR).<sup>18</sup> However, there is general agreement on reserving the higher dose of dabigatran for patients with CHADS-VASC score of 2 or over and HAS-BLED score of 2 or under, and the lower dose of dabigatran in patients with HAS-BLED score of 3 or over.<sup>18-20</sup>

In the setting of VTE treatment, the standard dose tested in the RE-COVER study was 150 mg bid, but, up to now, the drug has not yet been considered for this purpose.<sup>21</sup> The standard doses of rivaroxaban already recommended for clinical use are 10 mg od in VTE prevention and 20 mg od in NVAF.<sup>22,23</sup> In the setting of acute VTE treatment, rivaroxaban is now ap-

**Table 1. Bleeding risk in phase III studies comparing DOACs with warfarin.**

Study	Major bleedings		DOACs vs warfarin Intracranial bleedings		Total bleedings	
	Risk	Relative risk reduction	Risk	Relative risk reduction	Risk	Relative risk reduction
RE-LY						
110 mg	0.80 (0.70-0.93)*	-20% (-30/-7%)	0.31 (0.20-0.47)*	-69% (-80/-57%)	0.78 (0.74-0.83)*	-22% (-26/-17%)
150 mg	0.93 (0.81-1.07)	-7% (-19/+7%)	0.40 (0.27-0.60)*	-60% (-73/-40%)	0.91 (0.86-0.97)*	-9% (-14/-3%)
ROCKET-AF	1.04 (0.90-1.20)	+4% (-10/+20%)	0.67 (0.47-0.93)*	-33% (-53/+7%)	nr	
ARISTOTLE	0.69 (0.60-0.80)*	-31% (-40/-20%)	0.42 (0.30-0.58)*	-58% (-70/-42%)	0.71 (0.68-0.75)*	-29% (-32/-25%)
RE-COVER	0.82 (0.45-1.48)	-18% (-65/+48%)	nr	/	0.71 (0.59-0.85)*	-29% (-41/-15%)
RE-COVER II	0.69 (0.36-1.33)	-31% (-64/+33%)	nr	/	0.71 (0.60-0.84)*	-29% (-40/-16%)
RE-MEDY	0.52 (0.27-1.02)	-48% (-73/+2%)	nr	/	0.71 (0.61-0.83)*	-29% (-39/-17%)
EINSTEIN DVT	0.65 (0.33-1.30)	-35% (-67/+30%)	nr	/	nr	/
EINSTEIN PE	0.49 (0.31-0.79)*	-51% (-69/-21%)	nr	/	nr	/
AMPLIFY	0.31 (0.17-0.55)*	-69% (-83/-45%)	nr	/	0.44 (0.36-0.55)	-56% (-64/-45%)

DOACs, direct oral anticoagulants; nr, not reported. \*Significant.

proved at a dosage of 15 mg bid for three weeks from the acute event followed by 20 mg od for long-term and extended treatment.<sup>8,9,22,23</sup> Although currently under consideration, the use of rivaroxaban in the setting of ACS has not yet been approved. In this context, the doses tested in the phase III study were 2.5 mg or 5 mg bid.<sup>7</sup> Finally, the standard doses of apixaban are respectively 2.5 mg bid in VTE prevention in orthopedic surgery and 5 mg bid in NVAF.<sup>24</sup> The dose of apixaban tested for acute VTE treatment is 10 mg bid for one week followed by 5 mg bid.<sup>25</sup>

## When to change the dose?

### Elderly patients

Despite the fact that, in *real life*, elderly patients represent the biggest category of patients at risk or affected by VTE, NVAF and ACS, only a fairly low percentage of these patients are included in phase III RCTs on DOACs.

In the context of VTE prevention in orthopedic surgery, the dose of dabigatran should be reduced to 150 mg od in patients over 75 years of age.<sup>26</sup>

Rivaroxaban and apixaban do not require dose adjustment in the prophylaxis and treatment of VTE in patients over 75 years of age.<sup>22-24</sup> For this subgroup of patients, experts recommend considering a dose reduction (15 mg/od instead of 20 mg/od) of rivaroxaban in long-term and extended VTE treatment if bleeding risk exceeds the risk of VTE recurrence.<sup>23</sup>

In the setting of NVAF in patients over 80 years of age, practical recommendations arising from the analysis of phase III studies, suggest choosing the lower dose of dabigatran (110 mg bid).<sup>17</sup> In patients over 75 years of age, dabigatran should be used at lower doses only in those with high bleeding risk (75). In fact, the subgroup analysis of elderly patients of the RE-LY study showed that with the higher dose of dabigatran (150 mg bid) there was a trend towards higher risk of extracranial bleedings over warfarin in patients over 75 years of age.<sup>27</sup>

No dose reductions are recommended for rivaroxaban in very old patients, although patients at higher bleeding risk should be managed with caution.<sup>22,23</sup> Apixaban should be reduced to a dose of 2.5 mg bid in patients over 80 years of age when they have at the same time at least one of the following characteristics: weight under 60 kg or creatinine levels 1.5 mg/dL or over.<sup>5,25</sup>

### Extreme weights

The lower dose of dabigatran, 110 mg bid, should be used in patients with NVAF and body weight under 60 kg.<sup>17</sup> Dose adjustment of rivaroxaban is not recommended for patients at the lowest end of the weight range.<sup>22,23</sup>

Dose adjustment of apixaban is required if low body weight (<60 kg) is contemporary to creatinine levels of 1.5 mg/dL or over or advanced age (>80 years).<sup>25</sup>

No dose adjustment is required for obese patients with body weight over 100 kg.<sup>17,22,23,25</sup>

### Organ failure

Renal failure: knowledge of pharmacokinetics is fundamental for the practical management of DOACs. Kidneys play an important role in DOACs elimination, but this role differs according to the different DOACs. While 80% of the dose of dabigatran is eliminated from the kidneys, only one-third of active rivaroxaban and 25% of apixaban are excreted through the urinary system.<sup>28</sup> Therefore, the half-life of DOACs is prolonged from renal impairment and in this context there could be drug accumulation.

Dabigatran is absolutely contraindicated in patients with severe renal failure (creatinine clearance (CrCl) <30 mL/min).<sup>17,28</sup> As mentioned above, lower dose of dabigatran (110 mg bid) should be used in patients with moderate renal failure (CrCl 30-49 mL/min) when they are over 75 years of age and at high bleeding risk.<sup>17</sup> Otherwise, dabigatran could be used at a dose of 150 mg bid also in patients with moderate renal failure.<sup>17</sup>

**Table 2. Standard doses of DOACs in phase III randomized clinical trials.**

	DABIGATRAN	RIVAROXABAN	APIXABAN
VTE			
Prevention in major orthopedic surgery	150 mg od or 220 mg od	10 mg od	2.5 mg bid
Treatment	150 mg bid	15 mg bid for three weeks followed by 20 mg od	10 mg bid for one week followed by 5 mg bid
Prevention in the medical patient	/	10 mg od	2.5 mg bid
NVAF	110 mg bid or 220 mg bid	20 mg od	5 mg bid
ACS	/	2.5 mg or 5 mg bid	5 mg bid

VTE, venous thromboembolism; NVAF, non-valvular atrial fibrillation; ACS, acute coronary syndrome; od, once daily; bid, twice daily.

Despite the fact that, in phase III RCTs on NVAf with rivaroxaban (ROCKET-AF) and apixaban (ARISTOTLE), patients with severe renal impairment were excluded and, therefore, not tested, the practical recommendations about these drugs, based on pre-clinical pharmacokinetic studies, suggest using rivaroxaban and apixaban also in subjects with CrCl between 30 and 15 mL/min, even if at lower doses.<sup>22,23,25</sup> Therefore, rivaroxaban and apixaban should be used at standard dose in patients with CrCl over 50 mL/min, while there should be a dose adjustment in patients with CrCl between 49 and 15 mL/min, 15 mg od and 2.5 mg bid.<sup>22,23</sup> It should be noted that dose adjustment of apixaban is indicated if creatinine levels are 1.5 mg/dL or over associated with advanced age (>80 years) or low body weight (<60 kg).<sup>5,25</sup> A subgroup analysis of patients enrolled in the ROCKET-AF study showed that the dose of 15 mg od in approximately 1500 patients with moderate renal failure is consistent with the dose of 20 mg od used in patients with CrCl over 50 mL/min in terms of efficacy and safety over adjusted doses of warfarin.<sup>29</sup>

Similar considerations should be made in the context of VTE where dabigatran remains contraindicated in patients with severe renal failure (CrCl <30 mL/min), while rivaroxaban and apixaban should not be used for CrCl values below 15 mL/min.<sup>22,23,25,26</sup> A lower dose of dabigatran (150 mg od) is recommended

in patients with moderate renal impairment in the context of VTE prophylaxis in orthopedic surgery while 110 mg bid should be reserved for VTE treatment.<sup>26</sup> Dose adjustment for moderate renal failure is not recommended for rivaroxaban in orthopedic VTE prophylaxis nor in the acute phase of VTE treatment.<sup>22,23</sup> In the chronic phase of VTE treatment, a reduced dose of rivaroxaban should be considered in those patients with moderate renal failure (15 mg od) only if the bleeding risk exceeds the risk of thromboembolic recurrence.<sup>22</sup> Table 3 summarizes the recommended doses in patients with renal failure. The respect of dose adjustment of DOACs according to renal function is of utmost importance. In fact, first reports on bleedings associated with dabigatran demonstrated that the majority of bleedings occurred in patients with renal failure in whom there had been no dose adjustment in moderate renal failure or in whom the drug was not discontinued in the presence of severe renal failure.<sup>30</sup>

Liver diseases: all DOACs are contraindicated in patients with class C Child-Pugh cirrhosis or when levels of transaminases are two times that of baseline.<sup>17,22-24,26</sup> Therefore, the periodic evaluation of CrCl and liver function is of the utmost importance in patients on DOACs. Such evaluation, together with blood count and coagulation parameters, should also be carried out at the beginning of treatment in order to exclude those patients in whom DOACs could be

**Table 3. Recommended doses for DOACs in renal failure.**

	Mild renal impairment CrCl 50-80 mL/min	Moderate renal impairment CrCl 49-30 mL/min	Severe renal impairment CrCl 29-15 mL/min	End-stage renal impairment <15 mL/min
<b>Venous thromboembolism prophylaxis</b>				
Dabigatran	150 mg od 220 mg od	150 mg od 220 mg od	Contraindicated	Contraindicated
Rivaroxaban	10 mg od	10 mg od	10 mg bid	Contraindicated
Apixaban	2.5x2 bid	2.5x2 bid	2.5x2 bid	Contraindicated
<b>Venous thromboembolism treatment</b>				
Dabigatran	150 mg bid	150 mg bid	Contraindicated	Contraindicated
Rivaroxaban	15 mgx2 bid for three weeks followed by 20 mg bid	15 mgx2 bid for three weeks followed by 20 mg bid	15 mgx2 bid for three weeks followed by 20 mg bid	Contraindicated
Apixaban	10 mgx2 bid for one week followed by 5 mgxbid	10 mgx2 bid for one week followed by 5 mgxbid	10 mgx2 bid for one week followed by 5 mgxbid	Contraindicated
<b>Non-valvular atrial fibrillation</b>				
Dabigatran	150 mg or 110 mgx2 bid	150 mg or 110 mgx2 bid	Contraindicated	Contraindicated
Rivaroxaban	20 mg od	15 mg od	15 mg od	Contraindicated
Apixaban	5 mg bid	2.5 mg bid If renal impairment is associated to age >80 years or body weight <60 kg	2.5 mg bid If renal impairment is associated to age >80 years or body weight <60 kg	Contraindicated

CrCl, creatinine clearance; od, once daily; bid, twice daily.

contraindicated. It would also be desirable to carry out these tests three times in the first year of treatment and every time there is the suspicion of deterioration in renal function.<sup>17,18,22-24</sup> In this context, reference should be made to the fact that creatinine levels are not representative of renal function, especially in the elderly. In clinical practice, it is quite common to find elderly patients with near normal creatinine values while CrCl is impaired. The Cockcroft Gault or the MDRD formulas for estimation of renal function should be a familiar tool for physicians in the era of DOACs.

## Drug and food interactions

Vitamin K antagonists are limited by their multiple interactions with drugs, herbal products and food that contribute to their narrow therapeutic window. In contrast to VKAs, DOACs have limited drug interaction and are not influenced by food or herbal products.<sup>28</sup> Drug interactions are limited to drugs interfering with P-glycoprotein (P-Gly) for dabigatran and with P-Gly and cytochrome P3A4 for the Factor Xa inhibitors.<sup>28</sup> Table 4 summarizes the main drug interactions with DOACs and recommendations for dose adjustment.

The peak plasma concentration of dabigatran could be delayed by 2 h when administered with food. Therefore, it is preferable to administrate the drug between meals.<sup>17,28</sup>

## Other situations at increased bleeding risk

Many other clinical conditions encountered in *real life* other than those mentioned above could expose patients on DOACs to a risk of bleeding. The concomitant use of other antithrombotic agents (especially antiplatelet drugs), the use of non-steroidal

anti-inflammatory drugs, the presence of congenital or acquired coagulation disorders (*e.g.* abnormalities in platelet count or function, gastrointestinal ulcerations, active or recent bleedings, especially if intracranial), recent biopsy, recent spinal, brain and ocular surgery, and bacterial endocarditis, all expose patients to a risk of bleeding.<sup>17,18,22-24</sup> In these subjects, DOACs may be absolutely or relatively contraindicated and a close evaluation of the balance between thrombotic and bleeding risk should drive the choice of optimal treatment for the individual patient.

One of the main concerns with the use of concomitant drugs in patients treated with DOACs is related to antiplatelet molecules. In the RCTs on DOACs in patients with NVAf, around one-third of patients were taking acetylsalicylic acid (ASA).<sup>2-5</sup> Despite the fact that the bleeding risk increases in patients taking DOACs plus ASA compared with patients taking DOACs alone, the safety profile remains favorable for the association DOACs/ASA over warfarin/ASA, especially with regards to intracranial bleedings. However, lower dose of dabigatran (110 mg bid) resulted safer when compared with higher dose (150 mg bid) in this context.<sup>31</sup> Therefore, in patients needing contemporary treatment with dabigatran and ASA, the lower dose should be preferred. There is no evidence for dose adjustment of rivaroxaban and apixaban in the context of patients taking these drugs associated to ASA.

## Conclusions

Use of the DOACs could certainly be advantageous and represent a true therapeutic revolution in the management of thromboembolic disease. However, many aspects of their management in *real life* clinical practice still remain unknown. It would, there-

**Table 4. Drug interactions and dose adjustment for DOACs.**

Dabigatran			
Caution but use possible at standard dose	Caution Reduce dose to 110 mg bid	Not recommended	Contraindicated
Atorvastatin, diclofenac, pantoprazole, clopidogrel, digoxin, amiodarone, quinidine, clarithromycin	Verapamil	Dronedarone, carbamazepine, rifampicin, phenytoin, anti-retroviral drugs	Azoles, tacrolimus, cyclosporine
Anti-Xa			
Caution but use possible at standard dose	Caution The anticoagulant effect could be reduced because inducers of P-glycoprotein or cytochrome 3A4	Caution The anticoagulant effect could be increased because inhibitors of P-glycoprotein or cytochrome 3A4	Contraindicated
Digoxin, atorvastatin, midazolam	Rifampicin, phenobarbital, phenytoin, carbamazepine, hypericum	Fluconazole, erythromycin, clarythromycin, amiodarone, verapamil	Azoles, anti-retroviral drugs

fore, be desirable, especially at this stage, to follow the practical recommendations derived from the inclusion and exclusion criteria used in phase III RCTs or suggested as expert opinions or evidence provided by continuous reports in the literature.

Adjusted doses of DOACS should be carefully considered in special situations such as renal and liver impairment, advanced age, extreme low body weight, high bleeding risk patients, and in patients taking drugs that interact with P-Gly and/or cytochrome P3A4.

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